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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/711,886

10/12/2004

Yandong Jiang

022727-0117

021125 NUTTER MCCLENNEN & FISH LLP WORLD TRADE CENTER WEST 155 SEAPORT BOULEVARD BOSTON, MA 02210-2604



CONFIRMATION NO. 5885 FORMALITIES LETTER *OC000000014283837*

Date Mailed: 11/04/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 790 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- · The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$162 as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$1082 for a Large Entity

\$790 Statutory basic filing fee.

11/29/2004 ZJUHAR1 00000003 10711886

• \$130 Late oath or declaration Surcharge.

03 FC:2202

395.00 OP 65.00 OP 81.00 OP

- Total additional claim fee(s) for this application is \$162
 - \$162 for 9 total claims over 20.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: November 23, 2004 Signature:

Docket No.: 022727-0117

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Yandong Jiang

Application No.: 10/711,886

Filed: October 12, 2004

For:

METHODS AND DEVICES FOR

MAINTAINING AN OPEN AIRWAY

Confirmation No.: 5885

Art Unit: 3743

Examiner: Not Yet Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

MS Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed November 4, 2004, Part 2 – copy enclosed, Applicant respectfully submits a Combined Declaration and Power of Attorney.

Applicant claims small entity status. Our check in the amount of \$541.00 covering the fee set forth in 37 CFR 1.16(e), 1.16(c), and 1.16(a) is enclosed. The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 141449, under Order No. 022727-0117. A return postcard is also enclosed.

Dated: November 23, 2004

Nutter McClennen & Fish, LLP World Trade Center West 155 Seaport Boulevard Boston, Massachusetts 02210-2604

Tel.: (617) 439-2550 Fax: (617) 310-9550

Respectfully submitted,

Lisa J. Michaud

Registration No.: 44,238 Attorney for Applicant

PTO/SB/17 (10-04v2)
Approved for use through 7/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE	TR	AN	SMI	T	TAL
•	for	FY	200	5	

Effective 10/01/2004. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

AMOUNT OF PAYMENT (\$)

Complete if Known					
Application Number	10/711,886-Conf. #5885				
Filing Date	October 12, 2004				
First Named Inventor	Yandong Jiang				
Examiner Name	Not Yet Assigned				
Art Unit	3743				
Attomey Docket No.	022727-0117				

TOTAL AMOUNT OF TATMENT (V) 341.00	7 Morrioy Bookset No.					
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
X Check Credit Money Order Other None	3. ADDITIONAL FEES					
Deposit Account:						
Deposit	Large Fee	Entity		l Entity	-	
Account 141449 Number		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
Deposit Account Nutter McClennen & Fish LLP	1051	130	2051	65	Surcharge – late filing fee or oath	65.00
Name The Director is authorized to: (check all that apply)	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet.	
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-English specification	
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
	1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month	\vdash
1. BASIC FILING FEE	1252	430	2252	215	Extension for reply within second month	
Large Entity Small Entity	1253	980	2253	490	Extension for reply within third month	\vdash
Fee Fee Fee Fee Description Fee Paid	1254	1,530	2254	765	Extension for reply within fourth month	-
Code (\$) Code (\$) 1001 790 2001 395 Utility filing fee 395.00	1255	2,080	2255	1 040	Extension for reply within fifth month	<u> </u>
1002 350 2002 175 Design filing fee	1401	340	2401	170	Notice of Appeal	
1003 550 2003 275 Plant filing fee	1402	340	2402	170	Filing a brief in support of an appeal	\vdash
1004 790 2004 395 Reissue filing fee	1403	300	2403	150	Request for oral hearing	\vdash
1005 160 2005 80 Provisional filing fee	1451	1.510	1451	1,510	· ·	
	1452	110	2452	55	Petition to revive – unavoidable	
SUBTOTAL (1) (\$) 395.00	1453	1,370	2453	685	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,370	2501	685	Utility issue fee (or reissue)	
Extra Fee from Claims below Fee Paid	1502	490	2502	245	Design issue fee	
Total Claims 29 -20** = 9 x 9.00 = 81.00	1503	660	2503	330	Plant issue fee	
Independent 3 -3** = x = 0.00	1460	130	1460	130	Petitions to the Commissioner	
Claims S.SS Multiple Dependent =	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Fee Fee Fee Code (\$) Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1202 18 2202 9 Claims in excess of 20	1809	790	2809	395	Filing a submission after final rejection (37 CFR 1.129(a))	
1201 88 2201 44 Independent claims in excess of 3		790	2810	395	For each additional invention to be	
1203 300 2203 150 Multiple dependent claim, if not paid	1810 1801				examined (37CFR 1.129(b))	
1204 88 2204 44 ** Reissue independent claims over original patent		790	2801	395	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20		900	1802	900	Request for expedited examination of a design application	
and over original patent	Other	fee (spe	cify)			
SUBTOTAL (2) (\$) 81.00	*Redu	iced by l	Basic F	iling Fee	Paid SUBTOTAL (3) (\$)	65.00
**or number previously paid, if greater, For Reissues, see above						
SUBMITTED BY					(Complete (if applicable))	
Name (Print/Type) Lisa J. Michaud		ration No		.238	Telephone (617) 439-2000	
I mand trans type/ Lisa J. Wilchaud	(Attorne	ev/Agent	174	r,2J0	100000000000000000000000000000000000000	,

SUBMITTED BY				(Complete	(if applicable))
Name (Print/Type)	Lisa J. Michaud	Registration No. (Attorney/Agent)	44,238	Telephone	(617) 439-2000
Signature	Tu	RAD	·	Date	November 23, 2004
	- J				

Fee Transmittal

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date

Dated: November 23, 2004

(Lisa J. Michaud)